

DEF: G matrix Lie gp $\subset GL(n, \mathbb{C})$

The Lie alg of G is $\mathfrak{g} = \{X \in M_n(\mathbb{C}) \mid e^{tX} \in G, \forall t \in \mathbb{R}\}$, $\mathfrak{g}$ is a real Lie algebra.

DEF) A matrix Lie gp G is complex, if its Lie alg $\mathfrak{g}$ is a complex subspace of $M_n(\mathbb{C})$.

prop): If G commutative, then $\mathfrak{g}$ is commutative.

Notations: $\mathfrak{gl}(n, \mathbb{C}) =$ the Lie algebra of $GL(n, \mathbb{C})$.

$\mathfrak{sl}(n, \mathbb{C})$, $\mathfrak{so}(n)$, $\mathfrak{u}(n)$, $\mathfrak{sp}(n)$, ...

prop: $\mathfrak{gl}(n, \mathbb{C}) = M_n(\mathbb{C})$

$\mathfrak{sl}(n, \mathbb{C}) = \{X \in M_n(\mathbb{C}) \mid \text{trace}(X) = 0\}$

proof: $X \in M_n(\mathbb{C})$ has $\text{tr } 0$, want to show $e^{tX} \in SL(n, \mathbb{C})$

$$\Leftrightarrow \det(e^{tX}) = e^{\text{trace}(tX)} = 1 \Rightarrow X \in \mathfrak{sl}(n, \mathbb{C})$$

$$\text{Conversely, } e^{tX} \text{ has } \det 1. \quad \text{trace}(X) = \left. \frac{d}{dt} \text{trace}(e^{tX}) \right|_{t=0} \\ = \left. \frac{d}{dt} \det(e^{tX}) \right|_{t=0} = 0$$

Examples: $\mathfrak{u}(n) = \{X \in M_n(\mathbb{C}) \mid X^* = -X\}$

Lie groups and Lie algebra homomorphisms

Former: Lie group $\rightsquigarrow$ Lie algebra

Now: Lie group hom $\overset{?}{\rightsquigarrow}$ Lie algebra hom?

Thm: G, H are mat Lie gps. $\mathfrak{g}, \mathfrak{h}$ are Lie algebras. $\Phi: G \rightarrow H$ Lie gp homo.

$\exists!$ $\mathbb{R}$ -linear map $\phi: \mathfrak{g} \rightarrow \mathfrak{h}$ st $\Phi(e^X) = e^{\phi(X)}$, $\forall X \in \mathfrak{g}$.

This map satisfies

$$\textcircled{1} \quad \phi(AXA^{-1}) = \Phi(A)\phi(X)\Phi(A)^{-1} \quad \forall X \in \mathfrak{g}, A \in G$$

$$\textcircled{2} \quad \phi([X, Y]) = [\phi(X), \phi(Y)] \quad (\phi \text{ is Lie alg homo})$$

$$\textcircled{3} \quad \phi(X) = \left. \frac{d}{dt} \Phi(e^{tX}) \right|_{t=0} \quad \forall X \in \mathfrak{g}.$$

DEF) G mat Lie gp. $\mathfrak{g}$ is Lie alg of G . $A \in G$. The adjoint map $\text{Ad}_A: \mathfrak{g} \rightarrow \mathfrak{g}$ is given by $\text{Ad}_A(X) = AXA^{-1}$

prop: $G, \mathfrak{g}$ as before.

$$\begin{aligned} \text{Ad}: G &\longrightarrow GL(\mathfrak{g}) \\ A &\longmapsto \text{Ad}_A \end{aligned}$$

is a Lie group homomorphism. (continuous. $\text{Ad}(AB) = \text{Ad}_A \text{Ad}_B$)

Furthermore, $\forall A \in G$, $Ad_A: \mathfrak{g} \rightarrow \mathfrak{g}$ is a Lie alg homomorphism. ($Ad_A[X, Y] = [Ad_A(X), Ad_A(Y)]$)

$\mathfrak{g}$: Lie alg. $GL(\mathfrak{g}) = \{\text{invertible lie maps } f: \mathfrak{g} \rightarrow \mathfrak{g}\}$

$gl(\mathfrak{g}) = \{\text{all linear maps } f: \mathfrak{g} \rightarrow \mathfrak{g}\}, [f, g] = fg - gf$

$\Rightarrow gl(\mathfrak{g})$ is the Lie algebra of $GL(\mathfrak{g})$.

Let $\tilde{\alpha} = Ad$. Then $\tilde{\alpha}: G \rightarrow GL(\mathfrak{g})$ is a Lie gp homomorphism. $\Rightarrow \overset{Ad}{\phi}: \mathfrak{g} \rightarrow gl(\mathfrak{g})$ is the Lie algebra homomorphism.

prop: $\tilde{\alpha} = Ad \Rightarrow \phi = ad$.

pf: from theorem 3.28. Points, $\phi(X) = \frac{d}{dt} \tilde{\alpha}(e^{tX})|_{t=0} = \frac{d}{dt} Ad e^{tX}|_{t=0}$

$$\phi(X)(Y) = \frac{d}{dt} e^{tX} Y e^{-tX}|_{t=0}$$

$$= e^{tX} X Y e^{-tX} + e^{tX} Y e^{-tX} (-X)|_{t=0} = XY - YX$$

prop: $Ad_{e^X} = e^{ad_X}$

Ex: Let $G = GL(n, \mathbb{C})$, $\mathfrak{g} = gl(n, \mathbb{C}) = M_n(\mathbb{C})$

prop: $\forall X \in M_n(\mathbb{C})$, letting $ad_X: M_n(\mathbb{C}) \rightarrow M_n(\mathbb{C})$ by $ad_X(Y) = [X, Y] \Rightarrow e^X Y e^{-X} = e^{ad_X}(Y)$

§3.6. The complexification of a real Lie algebra.

formal sum

DEF) V is finite-dim real v.s. The complexification of V is $V_{\mathbb{C}} = \{V_1 + iV_2 \mid V_1, V_2 \in V\}$

Def: $i(V_1 + iV_2) = -V_2 + iV_1$

prop: $\mathfrak{g}$: finite-dim real Lie alg. $\mathfrak{g}_{\mathbb{C}}$ is the complexification of $\mathfrak{g}$. (as vector space)

$\exists ! [\cdot, \cdot]_{\mathfrak{g}_{\mathbb{C}}}$ on $\mathfrak{g}_{\mathbb{C}}$ st:

① $\mathfrak{g}_{\mathbb{C}}$ with $[\cdot, \cdot]$ is a complex Lie algebra

② $[X, Y]_{\mathfrak{g}_{\mathbb{C}}} = [X, Y]_{\mathfrak{g}}, \forall X, Y \in \mathfrak{g}$

$\mathfrak{g}_{\mathbb{C}}$ is called the complexification of the real Lie alg $\mathfrak{g}$.

prop: $\mathfrak{g} \subseteq M_n(\mathbb{C})$ is a real Lie alg. $\forall 0 \neq X \in \mathfrak{g}, iX \notin \mathfrak{g}$. $\mathfrak{g}_{\mathbb{C}} \equiv \{X + iY \in M_n(\mathbb{C}) \mid X, Y \in \mathfrak{g}\}$

$$gl(n, \mathbb{R})_{\mathbb{C}} \cong gl(n, \mathbb{C})$$

$$u(n)_{\mathbb{C}} \cong gl(n, \mathbb{C})$$

Pf: $U(n)_{\mathbb{C}} = \{X \in M_n(\mathbb{C}) \mid X^* = -X\}$

The exponential map

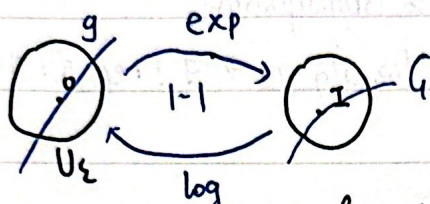
DEF) G is mat lie gp with lie algebra $\mathfrak{g}$. The exponential map for G is the map $\exp: \mathfrak{g} \rightarrow G$

In general: $\exp: \mathfrak{g} \rightarrow G$ is neither 1-1 nor onto. However, "locally": 1-1 and onto.

Thm: For $0 < \varepsilon < \log 2$, Let $U_\varepsilon = \{X \in \mathfrak{g} \mid \|X\| < \varepsilon\}$, $V_\varepsilon = \exp(U_\varepsilon)$

$G \subseteq GL(n, \mathbb{C})$, $\mathfrak{g}$ is Lie algebra of G . Then $\exists 0 < \varepsilon < \log 2$ s.t $\forall A \in V_\varepsilon$, then $A \in G \Leftrightarrow \log A \in \mathfrak{g}$.

Explanation:



$\exp: \mathfrak{g} \rightarrow G$ locally 1-1 & onto.

$$\Leftrightarrow \exp(\mathfrak{g} \cap U_\varepsilon) = G \cap V_\varepsilon$$

Cor: $\dim_{\mathbb{R}} \mathfrak{g} = k$, Then G is a smooth embedded submanifold $Mn(\mathbb{C})$ of $\dim k$. Therefore G is a Lie gp.

Cor: $G \subseteq GL(n, \mathbb{C})$ mat lie gp with Lie alg $\mathfrak{g}$. Then $X \in \mathfrak{g} \Leftrightarrow \exists$ smooth curve $\gamma(t)$ in G st $\gamma(0) = I$, $\frac{d\gamma}{dt}|_{t=0} = X$. ($\Rightarrow \mathfrak{g}$ is the tangent space of G at the identity).

Recall: $\Phi: G \rightarrow H \rightsquigarrow \phi: \mathfrak{g} \rightarrow \mathfrak{h}$

Coro: If G connected, Φ is determined by ϕ . $\Phi \xleftarrow{1-1} \phi$.

Basic repn theory

Recall: G ~~commutative~~ connected. Then G commutative $\Leftrightarrow \mathfrak{g}$ commute

G : mat Lie gp. $\Rightarrow G_0$ is also a matrix lie group. Lie alg of $G \cong$ Lie alg of G_0

Lie algebra is easier to learn!

Now: V is finite-dim vec sp over $\mathbb{C}$.

$GL(V)$ = group of invertible linear transformations on V ($\cong GL(n, \mathbb{C})$)

$\mathfrak{gl}(V) = \text{End}(V)$ = space of all linear trans on V . (Lie alg with $[X, Y] = XY - YX$)

DEF: G matrix lie gp. A repn of G is a lie group homomorphism $\pi: G \rightarrow GL(V)$

DEF: $\mathfrak{g}$: Lie alg. A finite-dim rep of $\mathfrak{g}$ is a Lie alg homo: $\pi: \mathfrak{g} \rightarrow \mathfrak{gl}(V)$

We will always consider a rep as a linear action on a vector space.

Note: $\pi: G \rightarrow \mathfrak{gl}(V)$ is group homo. $(gh).v = g.h.v$

$$\pi: \mathfrak{g} \rightarrow \mathfrak{gl}(V) \text{ is Lie alg homo} \quad \pi([X, Y]) = [\pi(X), \pi(Y)] \Leftrightarrow [X, Y].v = X.(Y.v) - Y.(X.v)$$

$$= \pi(X)\pi(Y) - \pi(Y)\pi(X)$$

DEF:

π : rep of G acting on V . $W \subseteq V$ is invariant subspace if $g.W \subseteq W, \forall g \in G$

Typical problem: is to classify all irr reps up to isomorphisms.

prop: $\pi: G \rightarrow \mathfrak{gl}(V)$. G is Lie gp with Lie alg $\mathfrak{g}$. $\Rightarrow \exists !$ rep $\pi: \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ st $\pi(e^X) = e^{\pi(X)}$

Moreover, $\pi(X) = \frac{d}{dt} \pi(e^{tX}) \Big|_{t=0}$

$$\pi(AXA^{-1}) = \pi(A)\pi(X)\pi(A)^{-1}$$

prop: G : connected matrix Lie gp with Lie alg $\mathfrak{g}$

① π is irr $\Leftrightarrow \pi$ is irr

② $\pi_1 \cong \pi_2 \Leftrightarrow \pi_1 \cong \pi_2$

DEF: G matrix Lie gp with $\mathfrak{g}$. The adjoint repn of G is $Ad: G \rightarrow \mathfrak{gl}(\mathfrak{g})$

The adjoint repn of $\mathfrak{g}$ is $ad: \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})$

$$X \mapsto ad_X, ad_X(Y) = [X, Y]$$

Ad is rep $\Leftrightarrow Ad(AB) = Ad(A)Ad(B)$

ad is rep $\Leftrightarrow ad([X, Y]) = ad_X ad_Y - ad_Y ad_X$

DEF: Tensor product $f: U \rightarrow U, g: V \rightarrow V$ are linear map. their tensor product is: $f \otimes g: U \otimes V \rightarrow U \otimes V$

DEF G, H are matrix Lie gp. π_1 : rep of G on U . π_2 : rep of H on V

The tensor product $\pi_1 \otimes \pi_2$ of π_1 and π_2 is: the rep of $G \times H$ acting on $U \otimes V$

Via $(\pi_1 \otimes \pi_2)(A, B) = \pi_1(A) \otimes \pi_2(B)$

Note: $\pi_1 \otimes \pi_2: G \times H \rightarrow \mathfrak{gl}(U \otimes V)$

prop: G, H mat Lie gps with Lie algebras $\mathfrak{g}, \mathfrak{h}$

π_1, π_2 : reps of G, H

π_1, π_2 : reps of corresponding $\mathfrak{g}, \mathfrak{h}$

$\Rightarrow \pi_1 \otimes \pi_2$: the tensor product of π_1 and π_2 (repn of $G \times H$).

Let $\pi_1 \otimes \pi_2$ be the corresponding Lie alg rep of $\mathfrak{g} \oplus \mathfrak{h}$. Then $(\pi_1 \otimes \pi_2)(X, Y) = \pi_1(X) \otimes I + I \otimes \pi_2(Y)$, $\forall X \in \mathfrak{g}, Y \in \mathfrak{h}$.

DEF: $\mathfrak{g}, \mathfrak{h}$ Lie alg, π_1, π_2 are reps of $\mathfrak{g}$ and $\mathfrak{h}$ acting on U, V . The tensor product

$\pi_1 \otimes \pi_2$ is the rep of $\mathfrak{g} \oplus \mathfrak{h}$ acting on $U \otimes V$ by:

$$(\pi_1 \otimes \pi_2)(X, Y) = \pi_1(X) \otimes I + I \otimes \pi_2(Y) \quad \text{ie. } (X, Y)(u \otimes v) = (X, u) \otimes v + u \otimes (Y, v)$$

Schur's lemma

1. V, W irr reps of a gp / Lie algebra. $\phi: V \rightarrow W$ is an intertwining map

$\Rightarrow \phi = 0$ or ϕ is an isomorphism

2. V : irr rep. $\phi: V \rightarrow V$ is an intertwining map, $\Rightarrow \phi = \lambda I$ for some $\lambda \in \mathbb{C}$

3. V, W irr reps. $\phi_1, \phi_2: V \rightarrow W$ are 2 nonzero intertwining maps, $\Rightarrow \phi_1 = \lambda \phi_2$ for some $\lambda \in \mathbb{C}$.

Note. in 2.3 the base field is $\mathbb{C}$

Reps of $sl(2, \mathbb{C})$

Goal: Find all irr reps of $sl(2, \mathbb{C})$

Standard basis: $X = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$ $Y = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$ $H = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$

lemma: π is repn of $sl(2, \mathbb{C})$. u : eigenvector of $\pi(H): V \rightarrow V$. With eigenvalue α ($\pi(H)v = \alpha v$). Then $\pi(H)\pi(X)u = (\alpha + 2)\pi(X)u$. (which means $\pi(X)u$ will either be an eigenvector for $\pi(H)$ with e.v $\alpha + 2$, or $\pi(X)u = 0$).

Similarly, $\pi(H)\pi(Y)u = (\alpha - 2)\pi(Y)u$.

$$\text{pf: } [\pi(H), \pi(X)] = \pi([H, X]) = 2\pi(X)$$

$$\pi(H)\pi(X) - \pi(X)\pi(H) = 2\pi(X)$$

$$\pi(H)\pi(X)u = \pi(X)\pi(H)u + 2\pi(X)u$$

$$= \pi(X)\alpha u + 2\pi(X)u = (\alpha+2)\pi(X)u$$

Now. Let π be an irr rep of $\mathfrak{sl}(2, \mathbb{C})$ acting on V .

Strategy: Diagonalize $\pi(H)$ i.e. find eigenvectors spanning V .

$\mathbb{C}$ is alg closed $\Rightarrow \pi(H)$ has at least one eig vector u with e.v. λ .

- By lemma $\pi(H)\pi(X)^k u = (\lambda+2k)\pi(X)^k u \quad (k \geq 0)$

$\pi(H)$ can have at most $\dim V$ distinguish eig values. $\exists N \geq 0$ st

$$\pi(X)^N u \neq 0, \pi(X)^{N+1} u = 0$$

Let $u_0 = \pi(X)^N u$. $\lambda = \alpha + 2N$. $\Rightarrow \pi(H)u_0 = \lambda u_0, \pi(X)u_0 = 0$

- Let $u_k = \pi(Y)^k u_0$ for $k \geq 0$. By lemma. $\pi(H)u_k = (\lambda - 2k)u_k \quad (k \geq 0)$

$$\pi(X)u_k = k(\lambda - k + 1)u_{k-1}, \quad (k \geq 1) \quad (*)$$

As before $u_k = 0$ for some k . Let $u_k = \pi(Y)^k u_0 \neq 0$ for $0 \leq k \leq m$.

$$u_{m+1} = \pi(Y)^{m+1} u_0 = 0$$

By (*). $0 = \pi(X)u_{m+1} = (m+1)(\lambda - m)u_m \leadsto \lambda = m$ (integer) ≥ 0

Consider action $\pi(X), \pi(Y), \pi(H)$ on $u_0, \dots, u_m$

$$\left. \begin{aligned} \pi(H)u_k &= (m-2k)u_k \\ \pi(Y)u_k &= \begin{cases} u_{k+1} & k < m \\ 0 & k = m \end{cases} \\ \pi(X)u_k &= \begin{cases} k(m-k+1)u_{k-1} & \text{if } k > 0 \\ 0 & k = 0 \end{cases} \end{aligned} \right\} \quad \nabla$$

Since $u_0, u_1, \dots, u_m$ are e.vec for $\pi(H)$ with distinct e.value. They're linear indep.

$W = \text{span}\{u_0, \dots, u_m\} \subseteq V$, and W is invariant $\neq 0$. $\Rightarrow V = W$ (irreducible)

$$V = \text{span}\{u_0, \dots, u_m\}, \dim V = m+1$$

$\Rightarrow V, V'$ irred rep of $\mathfrak{sl}(2, \mathbb{C})$ with same dim. then $V \cong V'$ (uniqueness)

If we define a rep by π , then it's inded a rep of $\mathfrak{sl}(2, \mathbb{C})$.

CampusThm: $\forall m \in \mathbb{Z}^+$, $\exists!$ irr representation of $\mathfrak{sl}(2, \mathbb{C})$ of dim $m+1$. (up to iso). which is given by π_m .

Thm: (π, V) finite dim representation of $sl(2, \mathbb{C})$, (not necessarily irreducible)

- ① Every eigenvalue of $\pi(H)$ is integer. If v is an eigenvector of $\pi(H)$ with ev λ , $\pi(X)v=0$, then λ is a nonnegative int
- ② Operators $\pi(X)$ and $\pi(Y)$ are nilpotent
- ③ If we define $S: V \rightarrow V$ by $S = e^{\pi(X)} e^{-\pi(Y)} e^{\pi(X)}$, then $S\pi(H)S^{-1} = -\pi(H)$
- ④ k is an eigenvalue of $\pi(H)$, then $-|k|, -|k|+2, \dots, |k|-2, |k|$ are also eigenvalues of $\pi(H)$

pf: Only NTS ③.

$$\begin{aligned}
 S\pi(H)S^{-1} &= e^{\pi(X)} e^{-\pi(Y)} e^{\pi(X)} \pi(H) e^{-\pi(X)} e^{\pi(Y)} e^{-\pi(X)} \\
 &= \text{Ad}_{e^{\pi(X)}} \text{Ad}_{e^{-\pi(Y)}} \text{Ad}_{e^{\pi(X)}} (\pi(H)) \quad \text{Ad}_{e^z} = e^{\text{ad}_z} \\
 &= e^{\text{ad}_{\pi(X)}} e^{\text{ad}_{-\pi(Y)}} e^{\text{ad}_{\pi(X)}} (\pi(H)) \\
 &= e^{\text{ad}_{\pi(X)}} e^{\text{ad}_{-\pi(Y)}} \left(\pi(H) + [\pi(X), \pi(H)] + \frac{1}{2} [\pi(X), [\pi(X), \pi(H)]] \right) \\
 &\quad \quad \quad \pi(H) - 2\pi(X)
 \end{aligned}$$

Do the same thing with $e^{\text{ad}_{-\pi(Y)}}$, $e^{\text{ad}_{\pi(X)}}$, we obtain 3.

Summary of pf of irr rep of $sl(2, \mathbb{C})$.

- u eigenvector for $sl(2, \mathbb{C})$ with eigenvalue λ .

$$\begin{array}{ccccccc}
 u & \xrightarrow{\pi(X)} & u_1 & \xrightarrow{\pi(X)} & u_2 & \xrightarrow{\pi(X)} & \dots & \xrightarrow{\pi(X)} & u^{(n)} & \xrightarrow{\pi(X)} & 0 \\
 & & & & & & & & \parallel & & \\
 & & & & & & & & u_0 & & \\
 0 & \xleftarrow{\pi(Y)} & u_m & \xleftarrow{\pi(Y)} & \dots & \xleftarrow{\pi(Y)} & u_2 & \xleftarrow{\pi(Y)} & u_1 & \xleftarrow{\pi(Y)} & u_0
 \end{array}$$

Highest weight operator

Next: The Baker Campbell Hausdorff formula.